

Attorney's Docket No.: 05770-145001 / AMSC-533 D-VAR

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul Frederick Koeppel et al. Art Unit : 2836  
Serial No. : 09/944,791 Examiner : Roberto Jose Rios Cuevas  
Filed : August 31, 2001  
Title : VOLTAGE RECOVERY DEVICE FOR USE WITH A UTILITY POWER NETWORK

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

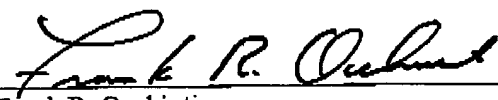
INFORMATION DISCLOSURE STATEMENT

Applicant submits reference AP listed on the attached form PTO-1449 with the facsimile transmission of this Information Disclosure Statement on July 21, 2004. Paper copies of all references, including AP, listed on the attached 1449 will be mailed to the PTO with the cover letter entitled, "Transmittal of Paper Copies of References."

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please apply a charge of \$180 as payment for the late submission fee of §1.17(p) to deposit account 06-1050, reference 05770-145001.

Respectfully submitted,

Date: July 21, 2004

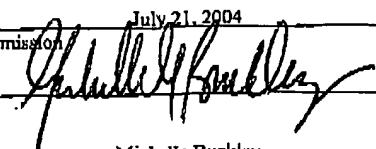
  
Frank R. Occhiuti  
Reg. No. 35,306

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

20906718.doc

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Date of Transmission July 21, 2004  
Signature   
Typed or Printed Name of Person Signing Certificate Michelle Buckley

Sheet 1 of 1

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>05770-145001</b>	Application No. <b>09/944,791</b>
	Applicant <b>Paul Frederick Koeppe et al.</b>			
	Filing Date <b>August 31, 2001</b>		Group Art Unit <b>2836</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>RP</i>	AA	4,128,805	12/05/78	Lanz	—	—	
<i>RP</i>	AB	4,328,551	05/04/82	Yamamura et al.	—	—	
<i>RP</i>	AC	5,329,221	07/12/94	Schauder	—	—	
<i>RP</i>	AD	5,329,222	07/12/94	Gyugyi et al.	—	—	
<i>RP</i>	AE	5,343,139	08/30/94	Gyugyi et al.	—	—	
<i>RP</i>	AF	5,351,181	09/27/94	Brennan et al.	—	—	
<i>RP</i>	AG	5,541,498	07/30/96	Beckwith	—	—	
<i>RP</i>	AH	5,566,085	10/15/96	Marceau et al.	—	—	
<i>RP</i>	AI	5,610,501	03/11/97	Nelson et al.	—	—	
<i>RP</i>	AJ	5,631,545	05/20/97	Norman et al.	—	—	
<i>RP</i>	AK	5,969,509	10/19/99	Thorvaldsson	—	—	
<i>RP</i>	AL	6,359,423	03/19/02	Noro	—	—	
<i>RP</i>	AM	6,577,108	06/10/03	Hubert et al.	—	—	

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>RP</i>	AN	5-30686	02/05/93	Japan	—	—		
<i>RP</i>	AO	WO96/19025	06/20/96	WIPO	—	—		

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<i>RP</i>	AP	Sasaki et al., "A Study on Optimal Placement Problem of Fuel Cells into Distribution Systems, <i>T. IEEE</i> , vol. 114-B, no. 5, 1994, pp. 491-497.

Examiner Signature <i>Robert Koeppe</i>	Date Considered <b>7/29/04</b>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)